

UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/899,534

07/06/2001

Charles English Henderson

693.001/10019556

CONFIRMATION NO. 3389

FORMALITIES LETTER

OC000000006457617

Mark E. Ungerman, Esq. Fulbright & Jaworski, LLP 801 Pennsylvania Avenue, N.W. Washington, DC 20004

Date Mailed: 08/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATIONECEIVED

FILED UNDER 37 CFR 1.53(b)

FEB 1 4 2002

Filing Date Granted

OFFICE OF PETITIONS

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$40.
 - \$40 for 1 independent claims over 3.
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 460.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No.: 693.001/10019556

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Charles E. Henderson, et al.

Application No.: 09/899,534

Group Art Unit: 2171

Filed: July 6, 2001

Examiner: Not Yet Assigned

For: METHOD AND SYSTEM FOR

COLLABORATIVE KNOWLEDGE

MANAGEMENT

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts Commissioner for Patents

Washington, DC 20231

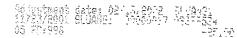
RECEIVED

FEB 1 4 2002

OFFICE OF PETITIONS

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed August 22, 2001, Applicant respectfully submits a Declaration executed by one inventor accompanied by a Petition to Accept Formal Documents without First-Named Inventor's Signature, a Statement of Facts from Omid Kia, a Statement of Facts from Michael Strauss (with attachments), an Information Disclosure Statement, a PTO-1449 with 5 references, Substitute Drawings with six (6) sheets of drawings, and a Petition for Extension of Time.



Docket No. 693.001/10019556

Our check in the amount of \$645.00 (\$460.00 covering the fee set forth in 37 CFR 1.16(e); \$55 cover the one-month extension of time; and \$130.00 covering the Petition) is enclosed. The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2375, under Order No. 693.001/10019556. A duplicate copy of this paper is enclosed.

Dated: November 21, 2001

Respectfully submitted,

By Wichaely. Strauss

Registration No.: 32,443

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Attorneys for Applicant

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Docket No.: 693.001/10019556

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(PATENT)

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Application No.: 09/899,534

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For: METHOD AND SYSTEM FOR

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MANAGEMENT

SUBMISSION OF SUBSTITUTE DRAWINGS

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Commissioner for Patents Washington, DC 20231

FEB 1 4 2002

OFFICE OF PETITIONS

Dear Sir:

In response to the Notice to File Missing Parts, submitted herewith is one set (6 sheets) of substitute formal drawings for filing in the above-identified Patent application.

Please cancel the drawings previously filed in this application.

Dated: November 21, 2001

Respectfully submitted,

Michael J. Strauss

Registration No.: 32,443

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